

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIPT

In re the application of  
ALBERT, et al.  
Application No. 09/771,232

Filed: January 26, 2001

For: DISTRIBUTING PACKETS AMONG  
MULTIPLE TIERS OF NETWORK APPLIANCES

Examiner: Unknown

Art Unit: 2661

April 6, 2001

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SEP 06 2001  
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on April 11, 2001

Signed:

Pat Tate

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Sir:

Enclosed is a copy of the Filing Receipt for the above-identified patent application. Please reprint the Filing Receipt as follows and mail the corrected copy to the undersigned.

**Please add the priority claims as listed in the application and below:**

<u>09/346,364</u> (Application No.)	<u>July 2, 1999</u> (Filing Date)	<u>Pending</u> (Status - patented, pending, abandoned)
<u>09/347,124</u> (Application No.)	<u>July 2, 1999</u> (Filing Date)	<u>Pending</u> (Status - patented, pending, abandoned)
<u>09/347,111</u> (Application No.)	<u>July 2, 1999</u> (Filing Date)	<u>Pending</u> (Status - patented, pending, abandoned)
<u>09/347,428</u> (Application No.)	<u>July 2, 1999</u> (Filing Date)	<u>Pending</u> (Status - patented, pending, abandoned)
<u>09/347,122</u> (Application No.)	<u>July 2, 1999</u> (Filing Date)	<u>Pending</u> (Status - patented, pending, abandoned)
<u>09/347,108</u> (Application No.)	<u>July 2, 1999</u> (Filing Date)	<u>Pending</u> (Status - patented, pending, abandoned)
<u>09/347,126</u> (Application No.)	<u>July 2, 1999</u> (Filing Date)	<u>Pending</u> (Status - patented, pending, abandoned)
<u>09/347,034</u>	<u>July 2, 1999</u>	<u>Pending</u>

Atty. Docket No. CISC543

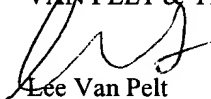
(Application No.)	(Filing Date)	(Status - patented, pending, abandoned)
<u>09/347,048</u> (Application No.)	<u>July 2, 1999</u> (Filing Date)	<u>Pending</u> (Status - patented, pending, abandoned)
<u>09/347,125</u> (Application No.)	<u>July 2, 1999</u> (Filing Date)	<u>Pending</u> (Status - patented, pending, abandoned)
<u>09/347,123</u> (Application No.)	<u>July 2, 1999</u> (Filing Date)	<u>Pending</u> (Status - patented, pending, abandoned)
<u>09/347,109</u> (Application No.)	<u>July 2, 1999</u> (Filing Date)	<u>Pending</u> (Status - patented, pending, abandoned)
<u>09/347,036</u> (Application No.)	<u>July 2, 1999</u> (Filing Date)	<u>Pending</u> (Status - patented, pending, abandoned)
<u>09/651,436</u> (Application No.)	<u>August 30, 2000</u> (Filing Date)	<u>Pending</u> (Status - patented, pending, abandoned)

Please include the title of the application:

**DISTRIBUTING PACKETS AMONG MULTIPLE TIERS OF NETWORK APPLIANCES**

Since this is a Patent Office typographical error, no fee should be due. However, the Commissioner is authorized to charge any fees that may be due to Deposit Account 50-0685 (Order No. CISC543). Thank you.

Respectfully submitted,  
VAN PELT & YI LLP

  
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Reg. No. 38,352

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Suite 205  
Los Altos, CA 94022  
(650) 903-3500



## UNITED STATES PATENT AND TRADEMARK OFFICE

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 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/771,232	01/26/2001	2661	0.00	CISCP543	27	18	5

RITTER, VAN PELT &amp; YI LLP

CONFIRMATION NO. 6951

21912

RITTER VAN PELT & YI, L.L.P.  
 4906 EL CAMINO REAL  
 SUITE 205  
 LOS ALTOS, CA 94022



APR 02 2001 FILING RECEIPT

DOCKETED

\*00000005907231\*

Date Mailed: 03/27/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Mark Albert, Research Triangle, NC;  
 Louis Menditto, Research Triangle, NC;  
 Robert Batz, Research Triangle, NC;  
 Chris O'Rourke, Research Triangle, NC;  
 Richard Gray, Research Triangle, NC;  
 Pranav Tiwari, Research Triangle, NC;  
 Jacob Mark McGuire, Research Triangle, NC;  
 Tzu-Ming Tsang, Research Triangle, NC;

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Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 03/26/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**Title**

Distributing packets among multiple tiers of network appliances

**Preliminary Class**

370

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**Data entry by : TSIGE, WUBALEM**

**Team : OIPE**

**Date: 03/27/2001**

[REDACTED]



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/771,232	01/26/2001	Mark Albert	CISCP543

CONFIRMATION NO. 6951

21912  
RITTER VAN PELT & YI, L.L.P.  
4906 EL CAMINO REAL  
SUITE 205  
LOS ALTOS, CA 94022



\*OC00000006502808\*

Date Mailed: 08/31/2001

## RESPONSE TO REQUEST CORRECTED FILING RECEIPT

## Claims, Fees, Inventors and Continuity

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

- ☐ The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- ☐ The filing fee is correct. It includes the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date.
- ☐ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).
- ☐ The docket number allows a maximum of 25 characters.
- ☒ Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without supplying the relationship (i.e. continuation, divisional...).
- ☐ Foreign priority will appear on the Filing Receipt in the following order:  
**Country, Application number, Filing date.**
- ☐ The person signing on behalf of the deceased inventor will be reflected on your Filing Receipt as the legal representative.
- ☐ The enclosed Filing Receipt was returned to the Office as undeliverable. We are sending a second filing receipt to the address given.



Only one character per space is allowed. Therefore, punctuation which is usually placed above or below a letter, such as an umlaut (..), cannot be included on the Filing Receipt.

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Office of Initial Patent Examination  
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*A copy of this notice MUST be returned with the reply.*

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Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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